

# BRAUER'S HEIGHT ZERO CONJECTURE FOR TWO PRIMES HOLDS TRUE

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ABSTRACT. In this paper we complete the proof of Brauer's height zero conjecture for two primes proposed by G. Malle and G. Navarro.

## 1. INTRODUCTION

The famous Brauer's height zero conjecture [4] is one of the most important open problems in the modular representation theory of finite groups. Up to now, the "if" part of Brauer's height zero conjecture was proved by Kessar-Malle [12], and the "only if" part was reduced to checking the so-called inductive Alperin-McKay condition for all simple groups by Navarro-Sp ath [23]. In addition, Brauer's height zero conjecture is known to hold true for quasi-simple groups by Kessar-Malle [13].

Among the classes of blocks, the trueness of Brauer's height zero conjecture for the 2-blocks of maximal defect and for blocks with meta-cyclic defect groups was shown by Navarro-Tiep [24] and Sambale [27], respectively. Also, Brauer's height zero conjecture for principal blocks was proved by Malle-Navarro [18]. Very recently, the conjecture has been finally proved by Malle-Navarro-Schaeffer Fry-Tiep [19].

Motivated by Brauer's height zero conjecture, G. Malle and G. Navarro put forward the following conjecture:

**Conjecture 1.1.** [17, Conjecture A] *Let  $G$  be a finite group, and let  $p$  and  $q$  be primes. Then the elements of some Sylow  $p$ -subgroup of  $G$  commute with the elements of some Sylow  $q$ -subgroup of  $G$  if and only if the characters in  $B_p(G)$  have degree not divisible by  $q$  and the characters in  $B_q(G)$  have degree not divisible by  $p$ , where  $B_p(G)$  denotes the set of irreducible complex characters in the principal  $p$ -block of  $G$ .*

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In [17], the authors proved the “only if” part of Conjecture 1.1 in full generality, and the “if” part of Conjecture 1.1 under the assumption that the inductive Alperin-McKay condition holds true for principal blocks of non-abelian simple groups. In this paper, we remove their assumption and provide a direct proof of the “if” part.

**Theorem 1.2.** *Conjecture 1.1 holds true.*

The proof of Theorem 1.2 depends on the classification theorem of finite simple groups. Also, the following result about almost simple groups with  $p$ -automorphisms turns out to be crucial.

**Theorem 1.3.** *Let  $p, q$  be different primes and let  $S \leq A \leq \text{Aut}(S)$ , where  $S$  is a nonabelian simple group with  $q \mid |S|$ . If  $|A/S| = p^a$  with a positive integer  $a$  and  $S$  has a nilpotent Hall  $\{p, q\}$ -subgroup, then exactly one of the following holds:*

- (1)  *$A$  has a nilpotent Hall  $\{p, q\}$ -subgroup; or*
- (2) *the conjugation action of  $P$  on  $B_q(S)$  has a nontrivial orbit, where  $P \in \text{Syl}_p(A)$ .*

## 2. PROOF OF THEOREM 1.2

**Lemma 2.1.** *Let  $G$  be a finite group, and let  $p$  and  $q$  be primes. Suppose that  $G = NP$ , where  $N \trianglelefteq G$  and  $P$  is a  $p$ -subgroup of  $G$ . If  $N$  has a  $p$ -nilpotent Hall  $\{p, q\}$ -subgroup, then  $P$  normalizes a Sylow  $q$ -subgroup of  $N$ .*

*Proof.* We first suppose that  $q = p$ . Let  $R$  be a Sylow  $q$ -subgroup of  $G$  containing  $P$ . Clearly,  $R \cap N$  is a Sylow  $q$ -subgroup of  $N$ ,  $R \cap N \trianglelefteq R$  and so  $P$  normalizes  $R \cap N$ .

We now assume that  $q \neq p$ . Let  $QP_0$  be a  $p$ -nilpotent Hall  $\{p, q\}$ -subgroup of  $N$ , where  $Q \in \text{Syl}_q(N)$  and  $P_0 \in \text{Syl}_p(N)$ . Then  $P_0 \in N_G(Q)$ , and by Frattini’s argument, we have  $G = NN_G(Q)$ . Let  $P_1$  be a Sylow  $p$ -subgroup of  $N_G(Q)$  containing  $P_0$ . Then  $P_1$  is also a Sylow  $p$ -subgroup of  $G$  since  $G = NP$ . Hence there is some  $g \in G$  such that  $P \leq P_1^g$ , and therefore  $P$  normalizes  $Q^g$ , as desired.  $\square$

**Remark 2.2.** The conclusion of Lemma 2.1 does not hold true under the assumption that  $N$  has a  $q$ -nilpotent Hall  $\{p, q\}$ -subgroup. As an example we may take  $G = S_4$ ,  $N = A_4$ ,  $p = 2$ ,  $q = 3$  and  $P = \langle (1234) \rangle$ .

For our purpose, we need the so-called generalized  $p'$ -core  $O_{p^*}(G)$  of a finite group  $G$ , which is defined by  $O_{p^*}(G) = \langle N \mid N \trianglelefteq G, N \text{ is a } p^*\text{-group} \rangle$ . Here a finite group  $G$  is called a  $p^*$ -group if the following two conditions hold.

- (i)  $O^p(G) = G$ , i.e.,  $G$  does not have a nontrivial  $p$ -factor group.
- (ii) Whenever  $N \trianglelefteq G$  and  $P \in \text{Syl}_p(N)$ , then  $G = NC_G(P)$ .

It is known that  $O_{p'}(G) \leq O_{p^*}(G)$  and for the layer  $E(G)$  of  $G$  we have  $E(G) \leq O_{p^*}(G)$ . Moreover,  $O_{p^*}(G)$  is the largest normal  $p^*$ -subgroup of  $G$  and  $G$  is  $p$ -constrained if and only if  $O_{p^*}(G) = O_{p'}(G)$ . For more details, see [3] or [10, Chap. X, §14]. Sometimes,  $E(G)$  is written as  $O_E(G)$  for the purpose of convenience.

Let  $f_{0,p}(G) = \sum_{g \in G} f_g g$  be the block idempotent of the principal  $p$ -block of  $G$  over a splitting field of characteristic  $p$ , and let  $O_{f_{0,p}}(G)$  be the subgroup of  $G$  generated by  $\text{supp}(f_{0,p}(G)) = \{g \mid f_g \neq 0\}$ . An important fact for us is that  $\text{supp}(f_{0,p}(G)) \leq O_{p^*}(G)$  (see [31]).

In addition, we will freely use a theorem of Wielandt [30], stating that if  $G$  has a nilpotent Hall  $\{p, q\}$ -subgroup, then all Hall  $\{p, q\}$ -subgroups of  $G$  are conjugate and each  $\{p, q\}$ -subgroup of  $G$  is contained in some Hall  $\{p, q\}$ -subgroup of  $G$ .

**Theorem 2.3.** *Let  $G$  be a finite group, and let  $p$  and  $q$  be primes. Then the elements of some Sylow  $p$ -subgroup of  $G$  commute with the elements of some Sylow  $q$ -subgroup of  $G$  if and only if the characters in  $B_p(G)$  have degree not divisible by  $q$  and the characters in  $B_q(G)$  have degree not divisible by  $p$ .*

*Proof.* By a recent result of Malle and Navarro [18, Theorem A], we may assume that  $p \neq q$  and  $pq \mid |G|$ . Since the “only if” part of the theorem has been proved in [17, Theorem 4.1], it suffices to prove the “if” part of the theorem.

We use induction on the order  $|G|$  of  $G$ . Notice that the hypotheses are inherited by factor groups and normal subgroups, and that the assertion is equivalent to prove that  $G$  has a nilpotent Hall  $\{p, q\}$ -subgroup. Clearly,  $O_{\{p,q\}'}(G) = 1$  by induction.

(1) *We may assume that  $G$  has a unique minimal normal subgroup  $M$ .*

*Proof.* Let  $N$  be a minimal normal subgroup of  $G$ . If  $p \mid |G/N|$  but  $q \nmid |G/N|$ , then any Sylow  $p$ -subgroup of  $G/N$  is a nilpotent Hall  $\{p, q\}$ -subgroup of  $G/N$ , while if  $pq \mid |G/N|$ , then  $G/N$  has a nilpotent Hall  $\{p, q\}$ -subgroup by induction.

Therefore, if  $N \neq M$  are two minimal normal subgroups of  $G$ , then both  $G/N$  and  $G/M$  have nilpotent Hall  $\{p, q\}$ -subgroups. According to [26, Corollary 8],  $G = G/(N \cap M)$  has a Hall  $\{p, q\}$ -subgroup, say  $H$ . Now, by Wielandt,  $H$  is contained in a Hall  $\{p, q\}$ -subgroup of  $G/N \times G/M$ , which is nilpotent. Thus  $H$  is a nilpotent Hall  $\{p, q\}$ -subgroup of  $G$ .  $\square$

(2) *We may assume that  $G = O_{q^*}(G)P O_{p^*}(G)Q$  with  $O_{p^*}(G)Q \trianglelefteq G$  and  $O_{q^*}(G)P \trianglelefteq G$ , where  $P \in \text{Syl}_p(G)$  and  $Q \in \text{Syl}_q(G)$ .*

*Proof.* It immediately follows from [31] that  $\text{Irr}(G/O_{p^*}(G)) \subseteq B_p(G)$ . Therefore all irreducible characters of  $G/O_{p^*}(G)$  have degrees not divisible by  $q$ , and so  $G/O_{p^*}(G)$  has an abelian normal Sylow  $q$ -subgroup by [21, Theorem 2.3]. If  $Q \in \text{Syl}_q(G)$ , then  $O_{p^*}(G)Q \trianglelefteq G$ . Similarly, we have  $O_{q^*}(G)P \trianglelefteq G$ , where  $P \in \text{Syl}_p(G)$ . If  $W :=$

$O_{q^*}(G)PO_{p^*}(G)Q \triangleleft G$ , then  $W$  and so  $G$  has a nilpotent Hall  $\{p, q\}$ -subgroup by induction. So we may assume that  $G = O_{q^*}(G)PO_{p^*}(G)Q$ , as claimed.  $\square$

(3) *We may assume that  $K := O_{p^*}(G) \cap O_{q^*}(G) \neq 1$ . In particular, we have  $M \leq K$ .*

*Proof.* If  $O_{p^*}(G) \cap O_{q^*}(G) = 1$ , then either  $O_{p^*}(G) = 1$  or  $O_{q^*}(G) = 1$ , by the uniqueness of the minimal normal subgroup  $M$  of  $G$ . Without loss of generality, we may assume that  $O_{p^*}(G) = 1$ , hence  $Q \triangleleft G$ . Thus we have  $Q \leq O_{p'}(G) \leq O_{p^*}(G) = 1$ , a contradiction since  $pq \mid |G|$ .  $\square$

(4) *We may assume that either  $O_{q^*}(G)P = G$  or  $O_{p^*}(G)Q = G$ .*

*Proof.* Suppose that  $O_{q^*}(G)P \triangleleft G$  and  $O_{p^*}(G)Q \triangleleft G$ . By induction, we may assume that  $PM/M \times QM/M$  is a nilpotent Hall  $\{p, q\}$ -subgroup of  $G/M$  with the replacement of a suitable conjugate of  $Q$  if necessary. In particular,  $[P, Q] \subseteq M$ . Also, since  $O_{p^*}(G)Q \triangleleft G$ , it follows that  $O_{p^*}(G)Q$  has a nilpotent Hall  $\{p, q\}$ -subgroup by induction. This implies that  $MQ$  has a nilpotent Hall  $\{p, q\}$ -subgroup. Note that  $P$  normalizes  $MQ$ . Now, replacing  $N$  by  $MQ$  and  $G$  by  $(MQ)P$  in Lemma 2.1, we conclude that  $P$  normalizes  $Q^x$  for some  $x \in M$ . So,  $[P, Q^x] \subseteq Q^x$ . Since  $Q^xM = QM$  and  $PM/M \times QM/M$  is nilpotent, it follows that  $[P, Q^x] \subseteq M$ . Hence

$$(2.1) \quad [P, Q^x] \subseteq Q^x \cap M.$$

On the other hand,  $PQ^x \cap O_{q^*}(G)P$  is a Hall  $\{p, q\}$ -subgroup of  $O_{q^*}(G)P$  which is nilpotent by induction, since  $O_{q^*}(G)P$  is a proper normal subgroup of  $G$ . Therefore,  $P(Q^x \cap O_{q^*}(G))$  is nilpotent, and so

$$(2.2) \quad [P, Q^x \cap M] = 1.$$

Combining (2.1) and (2.2), we obtain  $[P, Q^x] = 1$  by [2, Appendix A, Lemma A.2]. Thus  $G$  has a nilpotent Hall  $\{p, q\}$ -subgroup, and we are done.  $\square$

From now on we suppose that  $G = O_{q^*}(G)P$ .

(5) *We may assume that  $F(G) = 1$ .*

*Proof.* If  $M$  is a  $q$ -group, then  $M \leq Z(O_{q^*}(G))$ , by [10, Chap. X, Lemma 14.3 c)]. Hence for any  $\lambda \in \text{Irr}(M)$ , there is some  $\theta \in B_q(O_{q^*}(G))$  such that  $\theta = \theta(1)\lambda$  by [22, Theorem 9.4] and Clifford's Theorem [11, Theorem 6.2]. If the inertia subgroup of  $\theta$  in  $G$  does not contain a Sylow  $p$ -subgroup of  $G$ , then all irreducible constituents of  $\theta^G$  have degree divisible by  $p$  by the Clifford correspondence [11, Theorem 6.11]. In

particular,  $B_q(G)$  has an irreducible character of degree divisible by  $p$ , a contradiction. So  $\theta$  and hence  $\lambda$  is  $G$ -invariant. In particular,  $\lambda$  is  $P$ -invariant. It follows, by Brauer's permutation lemma, that

$$(2.3) \quad [P, M] = 1.$$

By induction on  $G/M$ , we have

$$(2.4) \quad [P, Q] \subseteq M$$

after replacing a conjugate of  $Q$  if necessary. Thus  $[P, Q] = 1$  by [2, Appendix A, Lemma A.2], and so  $G$  has a nilpotent Hall  $\{p, q\}$ -subgroup.

Now, suppose that  $M$  is a  $p$ -group. Again by induction on  $G/M$ , we have

$$(2.5) \quad [Q, P] \subseteq M,$$

after replacing a conjugate of  $P$  if necessary.

If  $O_{p^*}(G)Q \triangleleft G$ , then by induction,  $O_{p^*}(G)Q$  has a nilpotent Hall  $\{p, q\}$ -subgroup. Hence

$$(2.6) \quad [Q, M] = 1.$$

Thus  $[Q, P] = 1$  by [2, Appendix A, Lemma A.2], and so  $G$  has a nilpotent Hall  $\{p, q\}$ -subgroup.

Finally, suppose that  $G = O_{p^*}(G)Q$ . Repeating the above argument as for the case where  $M$  is a  $q$ -group with replacement of  $q$  by  $p$ , we obtain that  $G$  has a nilpotent Hall  $\{p, q\}$ -subgroup. Thus we may assume that  $F(G) = 1$ , as desired.  $\square$

By the uniqueness of the minimal normal subgroup  $M$  of  $G$ , the layer  $O_E(G)$ , which is indeed equal to  $M$ , is a direct product of some copies of a nonabelian simple group, say  $S$ , since  $F(G) = 1$ . Note that either  $p \mid |S|$  or  $q \mid |S|$  since  $O_{\{p,q\}'}(G) = 1$ .

(6) *The theorem holds if  $q \mid |S|$ .*

*Proof.* Suppose that  $q \mid |S|$ . Then  $O_{q'}(G) = 1$ , since otherwise  $M \leq O_{q'}(G)$ , and so  $q \nmid |M|$ , a contradiction. Write  $L = O_{q^*}(G)$ . According to [10, Chap. X, Theorem 14.17], we have

$$G = LP = O_{q',E}(L) O_{q^*}(C_L(Q_0))P = O_E(L) O_{q^*}(C_L(Q_0))P$$

where  $Q_0 \in \text{Syl}_q(O_{q',E,q}(L))$  and  $O_{q',E}$  and  $O_{q',E,q}$  are as in [10, Chap. X, Definition 14.16]. Since  $C_L(Q_0)$  normalizes each direct factor of  $O_E(L) = O_E(G)$ , we deduce that all direct factors of  $O_E(L)$  are normal in  $L$ . Assume that some factor  $S_i$  of  $O_E(L)$  is not normalized by any Sylow  $p$ -subgroup of  $G$ . Let  $\theta$  be a nontrivial irreducible character of the principal  $q$ -block of  $S_i$ . Considering the inertial subgroup of the irreducible character  $\phi := 1 \times \cdots \times \theta \times \cdots \times 1$  of the principal  $q$ -block of  $O_E(L)$ , we conclude that all irreducible constituents of  $\phi^G$  have degree divisible

by  $p$ . In particular,  $B_q(G)$  has an irreducible character of degree divisible by  $p$ , a contradiction. Therefore  $S_i$  is normalized by  $P^x$  for some  $x \in G$ , and so  $S_i$  is normal in  $G$ , since  $G = LP^x$ . Thus  $O_E(L) = S_i$  and  $G$  is an almost simple group with socle  $S_i \cong S$ .

By [17, Theorem 5.1], we may assume that  $G \neq S$ . According to [10, Theorem 14.18] and the first sentence of its following Remarks 14.19 we obtain  $L = SO_{q'}(C_L(Q_0))$ . Note that  $S$  has a nilpotent Hall  $\{p, q\}$ -subgroup by induction. If  $G = L$ , then  $G$  has a nilpotent Hall  $\{p, q\}$ -subgroup and we are done.

In the following we let  $L < G$ . Applying Theorem 1.3, we may assume that the conjugation action of  $P$  on  $B_q(S)$  has a nontrivial orbit. By [1, Lemma 1], the restriction of characters of  $L$  to  $S$  induces a bijection between  $B_q(L)$  and  $B_q(S)$ . Hence the conjugate action of  $P$  on  $B_q(L)$  also has a nontrivial orbit. This implies that  $B_q(G)$  has an irreducible character of degree divisible by  $p$ , a contradiction.  $\square$

(7) *The theorem holds if  $q \nmid |S|$ .*

*Proof.* If  $q \nmid |S|$ , then  $p \mid |S|$  and  $q \nmid |M|$ . First, suppose that  $O_{p^*}(G)Q \neq G$ . Replacing  $N$  by  $O_{p^*}(G)Q$  in Lemma 2.1, we may assume that  $P$  normalizes  $Q^x$  for some  $x \in G$ , i.e.,  $[P, Q^x] \subseteq Q^x$ . However,  $PQ^xM/M$  is a Hall  $\{p, q\}$ -subgroup of  $G/M$  which is nilpotent by induction. Hence  $[P, Q^x] \subseteq M$ , and therefore  $[P, Q^x] \subseteq Q^x \cap M = 1$ . Thus  $G$  has a nilpotent Hall  $\{p, q\}$ -subgroup.

Finally suppose that  $G = O_{p^*}(G)Q$ . Repeating the argument of (6) with the role of  $q$  replaced by  $p$ , we deduce that the theorem holds.  $\square$

$\square$

### 3. ALMOST SIMPLE GROUPS

For simple groups  $S$  of Lie type other than the Tits simple group, we introduce the following setup. Let  $\mathbf{G}$  be a simple algebraic group of adjoint type over an algebraically closed field of characteristic  $r$  and  $F : \mathbf{G} \rightarrow \mathbf{G}$  a Steinberg endomorphism, with finite group of fixed points  $G := \mathbf{G}^F$  such that  $S = G'$ .

If  $F$  is a Frobenius endomorphism, then it defines an  $\mathbb{F}_{r_1}$ -rational structure on  $\mathbf{G}$  for some power  $r_1$  of the characteristic  $r$ . In the case that  $F$  is not a Frobenius endomorphism we let  $r_1$  be the absolute value of all eigenvalues of  $F^2$  on the character group of an  $F$ -stable maximal torus of  $\mathbf{G}$ ; it is an integral power of the characteristic as well (see [20, §22.1] or [28, §11.6 and Remark 11.15]).

According to [9, Theorem 2.5.1],  $\text{Aut}(S)$  is generated by the inner automorphisms, diagonal automorphisms, field automorphisms and graph automorphisms of  $S$ . Furthermore, by [9, Lemma 2.5.8.(a)], the group  $G$  is exactly the subgroup of  $\text{Aut}(S)$  generated by  $S$  and its diagonal automorphisms.

To proceed, we introduce some notation. For an integer  $n$  we write  $n_p$  for the largest power of  $p$  dividing  $n$ , and for a group  $H$  and  $x \in H$ , we denote by  $x^H$  the conjugacy class of  $x$  in  $H$ . If  $q$  is a prime, which is coprime to  $r_1$ , we denote by  $e := e_q(r_1)$  the multiplicative order of  $r_1$  modulo  $q$  if  $q \neq 2$ , respectively

$$e_2(r_1) = \begin{cases} 1 & \text{if } r_1 \equiv 1 \pmod{4}, \\ 2 & \text{if } r_1 \equiv -1 \pmod{4}. \end{cases}$$

In addition, for a positive integer  $m$  let  $\Phi_m(x) \in \mathbb{Z}[x]$  denote the cyclotomic polynomial whose roots are the primitive  $m$ -th roots of unity.

**Proposition 3.1.** *Let  $S$  be a finite simple group of Lie type other than the Tits simple group, and let  $(\mathbf{G}, F)$  be as above such that  $S = G'$ . Let  $p$  and  $q$  be different primes with  $q \neq r$  and  $q \mid |S|$ . Suppose that  $S$  has a nilpotent Hall  $\{p, q\}$ -subgroup, and that  $S \leq A \leq \text{Aut}(S)$  with  $|A/S| = p^a$  for some positive integer  $a$ . If  $A$  does not have a nilpotent Hall  $\{p, q\}$ -subgroup, then  $A = S\langle\phi\rangle$  for some field automorphism  $\phi$  of  $S$  (and also of  $G$ ), and  $S$  has a  $q$ -element  $s$  such that the conjugacy class  $s^G$  of  $s$  in  $G$  is not fixed by the conjugation action of  $A$  on  $S$ .*

*Proof.* Let  $e = \text{ord}_q(r_1)$ . We first prove the first conclusion of the proposition. It is true if  $p \nmid |S|$  by [9, Theorem 7.1.2].

So we may assume that  $p \mid |S|$ . Since  $S$  has a nilpotent Hall  $\{p, q\}$ -subgroup, it follows by [17, Proposition 3.4] that  $p$  and  $q$  are not the defining characteristic of  $S$ . Using the duality between  $\mathbf{G}$  and its simply-connected correspondent, we get by [17, Lemma 3.1 and Proposition 3.5] that  $p, q$  are odd and a Hall  $\{p, q\}$ -subgroup of  $S$  is abelian and contained in some Sylow  $\Phi_e$ -torus of  $\mathbf{G}$  with  $e = \text{ord}_q(r_1) = \text{ord}_p(r_1)$ .

Note that only  $S = D_4(r_1)$  has a graph automorphism of odd order, namely 3. But for  $p = 3$ , the Sylow 3-subgroups of  $D_4(r_1)$  are not abelian, a contradiction. Suppose that  $A$  induces diagonal automorphisms on  $S$ . Then

- (i)  $S = A_n(r_1)$  and  $p \mid (n + 1, r_1 - 1)$ ,
- (ii)  $S = {}^2A_n(r_1)$  and  $p \mid (n + 1, r_1 + 1)$ ,
- (iii)  $S = E_6(r_1)$  and  $p = 3 \mid (r_1 - 1)$ , or
- (iv)  $S = {}^2E_6(r_1)$  and  $p = 3 \mid (r_1 + 1)$ .

In the cases (i) and (iii) we get  $e = 1$ , and in the cases (ii) and (iv)  $e = 2$ . By [15, Proposition 2.2],  $\Phi_e(r_i)$  is the unique cyclotomic polynomial factor in  $|G|$  divisible by  $p$ . According to [16, Lemma 5] we have  $p \mid \Phi_{ep}(r_1)$ . Since in the cases (i) and (ii) the polynomial  $\Phi_{ep}(r_1)$  also occurs in  $|G|$  we obtain a contradiction by the uniqueness of  $\Phi_e(r_i)$  which is divisible by  $p$ . Also, since in the cases (iii) and (iv),  $\Phi_3(r_1)$  and  $\Phi_6(r_1)$  occur in  $|G|$  we obtain a contradiction by the same argument. Thus  $A = S\langle\phi\rangle$  for some field automorphism  $\phi$  of  $S$ , as desired.

Before we proceed with the proof of the second conclusion we collect some useful facts. First notice that in all cases the prime  $p$  is odd. Furthermore,

$$|G|_{r'} = \prod_{m \in \Delta} \Phi_m(r_1)^{a_m},$$

where  $\Delta$  is a set of some positive integers and  $a_m > 0$  for all  $m \in \Delta$  (see [8, Section 10-1]). By [8, Section 9-1],

$$|C_G(\phi)|_{r'} = \prod_{m \in \Delta} \Phi_m(r_0)^{a_m},$$

where  $r_1 = r_0^{p^a}$ .

Clearly,  $\phi$  does not centralize any Sylow  $q$ -subgroup of  $S$  since  $A$  does not have a nilpotent Hall  $\{p, q\}$ -subgroup. Hence

$$|C_G(\phi)|_q < |G|_q.$$

Let  $e_0 = e_q(r_0)$ . Since  $r_1 = r_0^{p^a}$ , it follows by the formula for orders of elements and their powers in a group that  $e_0 = e \cdot \gcd(p^a, e_0)$ . Assume that  $q \mid \Phi_e(r_0)$ . Then  $e_0 \leq e$  and so  $e_0 = e$ . Since by [14, Lemma 5.2 (a)]  $\Phi_e(x)$  is the only cyclotomic factor of  $x^{ep^a} - 1$  with  $q \mid \Phi_e(r_0)$ , we see that

$$\Phi_e(r_0)_q = \Phi_e(r_1)_q.$$

Furthermore, by [16, Lemma 5],  $q \mid \Phi_m(r_0)$  if and only if  $m = eq^j$  for some  $j \geq 0$ , if and only if  $q \mid \Phi_m(r_1)$ , in which case  $(\Phi_m(r_0)^{a_m})_q = (\Phi_m(r_1)^{a_m})_q$ . Thus

$$|C_G(\phi)|_q = |G|_q,$$

a contradiction. Therefore  $e_0 > e$  (i.e.,  $\gcd(p^a, e_0) \neq 1$ ) and so  $e_0$  is divisible by  $pe$ . In particular,  $p \mid e_0$  and  $e_0 \geq p$ . Also,  $q$  is odd since otherwise  $r_0$  is odd and so  $e_0 = 1$  or  $2$ , a contradiction.

In order to prove now the second conclusion of the proposition, we first deal with classical simple groups, so that  $S$  is of type  $A_n(r_1)$ ,  ${}^2A_n(r_1)$ ,  $B_n(r_1)$ ,  $C_n(r_1)$ ,  $D_n(r_1)$  or  ${}^2D_n(r_1)$  and  $\phi$  is induced by a power of the Frobenius automorphism of  $\overline{\mathbb{F}}_r$  sending each element to its  $r$ th-power. Let  $\xi$  be a primitive  $(r_1^e - 1)_q$ -th root of unity.

In the following the existence of the chosen  $x$  follows immediately from the structure of maximal tori which have been determined in [5].

**Type  $A_n(r_1)$ .** In this case we have  $S = \text{PSL}_{n+1}(r_1)$ ,  $G = \text{PGL}_{n+1}(r_1)$ , and  $\Delta = \{1, \dots, n+1\}$ . Let  $\bar{\cdot} : \text{GL}_{n+1}(r_1) \rightarrow G$  be the natural epimorphism. Note that  $e \leq \frac{n+1}{3}$ , since  $3e \leq pe \mid e_0 \leq n+1$ .

First, we consider the case  $e = 1$ , hence  $n \geq 2$ . We put  $x = \text{diag}(\xi, \xi^{-1}, 1, \dots, 1) \in \text{SL}_{n+1}(r_1)$ . Thus

$$\phi(x) = \text{diag}(\xi^{r_0}, \xi^{-r_0}, 1, \dots, 1) = x^{r_0}$$

and  $\bar{x}, \overline{\phi(x)} \in S$ . We claim that  $\bar{x}$  and  $\overline{\phi(x)}$  are not conjugate in  $G$ . If they were conjugate, then there exists  $z = \text{diag}(\lambda, \lambda, \dots, \lambda) \in Z(\text{GL}_{n+1}(r_1))$  such that  $xz$  and  $\phi(x)$  are conjugate in  $\text{GL}_{n+1}(r_1)$ . Thus  $\det(z) = \lambda^{n+1} = 1$  and  $xz$  and  $\phi(x)$  have the same eigenvalues.

If  $n > 2$ , then  $\lambda = 1$  by comparing the eigenvalues of  $x$  and  $\phi(x)$ . Thus  $\xi = \xi^{\pm r_0}$ , and so  $e_0 = 1, 2 < p$ , a contradiction. In the case  $n = 2$  we have  $\{\lambda\xi, \lambda\xi^{-1}, \lambda\} = \{\xi^{r_0}, \xi^{-r_0}, 1\}$ . Hence  $\lambda = 1$  (contradiction as above) or  $1 \neq \lambda = \xi^{\pm r_0}$ . Thus  $1 = \lambda^3 = \xi^{\pm 3r_0}$ . Since  $q \nmid r_0$ , we get  $\xi^3 = 1$ , hence  $q = 3$  and  $e_0 = 1, 2$ , a contradiction.

Now let  $e > 1$ . Let  $x \in \text{SL}_{n+1}(r_1)$  be similar to

$$\text{diag}(\xi, \xi^{r_1}, \dots, \xi^{r_1^{e-1}}, 1, \dots, 1)$$

in  $\text{GL}_{n+1}(\overline{\mathbb{F}_r})$ . Then  $\bar{x} \in S$  and  $\phi(x)$  is similar to

$$\text{diag}(\xi^{r_0}, \xi^{r_0 r_1}, \dots, \xi^{r_0 r_1^{e-1}}, 1, \dots, 1)$$

in  $\text{GL}_{n+1}(\overline{\mathbb{F}_r})$ .

Suppose that  $\bar{x}$  and  $\overline{\phi(x)}$  are conjugate in  $G = \text{PGL}_{n+1}(r_1)$ . Then  $xz$  and  $\phi(x)$  are conjugate in  $\text{GL}_{n+1}(r_1)$ . By comparing their eigenvalues we see that  $\lambda = 1$ . Since  $e \leq \frac{n+1}{3}$  and  $\xi^{r_0} = \xi^{r_1^j}$  for some  $0 \leq j \leq e-1$ , it follows that  $\xi^{r_0^{p^a j-1}} = 1$ , and so  $q \mid (r_0^{p^a j-1} - 1)$ . This implies  $e_0 \mid (p^a j - 1)$ , a contradiction to  $p \mid e_0$ .

Hence  $\bar{x}$  and  $\overline{\phi(x)}$  are not conjugate in  $G$ , that is,  $\phi$  does not fix the conjugacy class  $\bar{x}^G$  of  $\bar{x}$  in  $G$ .

**Type  ${}^2A_n(r_1)$ .** In this case we have  $S = \text{PSU}_{n+1}(r_1)$  and  $G = \text{PGU}_{n+1}(r_1)$ , where  $n \geq 2$ . Let  $\bar{\cdot} : \text{GU}_{n+1}(r_1) \rightarrow G$  denote the natural epimorphism.

If  $e = 2$  we put  $x = \text{diag}(\xi, \xi^{-1}, 1, \dots, 1)$ , if  $e = 1$  we choose  $x \in \text{SU}_{n+1}(r_1)$  such that  $x$  is  $\text{GL}_{n+1}(\overline{\mathbb{F}_r})$ -conjugate to  $\text{diag}(\xi, \xi^{-1}, 1, \dots, 1)$ , and for  $e > 2$  we choose  $x \in \text{SU}_{n+1}(r_1)$  such that  $x$  is  $\text{GL}_{n+1}(\overline{\mathbb{F}_r})$ -conjugate to  $\text{diag}(\xi, \xi^{-r_1}, \dots, \xi^{(-r_1)^{e-1}}, 1, \dots, 1)$ . Again we suppose that  $\bar{x}$  and  $\overline{\phi(x)}$  are conjugate in  $G$ .

In the cases  $e = 1, 2$ , we may argue as in the case  $A_n(r_1)$  for  $e = 1$  to get a contradiction. Finally let  $e > 2$ . Since  $xz$  is not a  $q$ -element whenever  $\lambda \neq 1$ , we see that  $\bar{x}$  and  $\overline{\phi(x)}$  are  $G$ -conjugate if and only if  $x$  and  $\phi(x)$  are  $\text{GL}_{n+1}(\overline{\mathbb{F}_r})$ -conjugate (i.e.,  $\lambda = 1$ ). Thus it follows that  $\xi^{r_0} = \xi^{(-r_1)^j}$ , or equivalently,

$$\xi^{r_0(r_0^{p^a j-1} - (-1)^j)} = 1$$

for some  $1 \leq j \leq e-1$ . Hence  $2 \neq p \mid e_0 \mid 2(p^a j - 1)$ , a contradiction.

**Type  $C_n(r_1)$ .** In this case,  $S = \text{PSp}_{2n}(r_1)$  and  $G = \text{PCSp}_{2n}(r_1)$ , where  $n > 1$ . Observe that  $q$ -elements of  $S$  are conjugate in  $G$  if and only if their pre-images in  $\text{Sp}_{2n}(r_1)$  with the same order are conjugate in  $\text{CSp}_{2n}(r_1)$ .

Let  $x \in \mathrm{Sp}_{2n}(r_1)$  be such that  $x$  is  $\mathrm{GL}_{2n}(\overline{\mathbb{F}}_r)$ -conjugate to

$$\mathrm{diag}(D, 1, \dots, 1, D^{-1}, 1, \dots, 1),$$

where  $D = \mathrm{diag}(\xi, \xi^{r_1}, \dots, \xi^{r_1^{e-1}})$ . The choice of  $D$  is possible as  $e \leq \frac{2n}{3}$ .

Now if  $\bar{x}$  and  $\overline{\phi(x)}$  are conjugate in  $G$ , then

$$\begin{aligned} \xi &= \xi^{\pm r_0 r_1^j} \quad \text{for some } 0 \leq j \leq e-1, \\ &= \xi^{\pm r_0^{p^a j+1}}. \end{aligned}$$

Thus  $e_0 \mid 2(p^a j + 1)$ , a contradiction since  $2 \neq p \mid e_0$ .

**Type  $B_n(r_1)$ .** In this case, we have  $S = \Omega_{2n+1}(r_1)$  and  $G = \mathrm{SO}_{2n+1}(r_1)$ , where  $n > 1$ . Furthermore, we may assume that  $r_1$  is odd since otherwise  $\mathrm{SO}_{2n+1}(r_1) \cong \mathrm{Sp}_{2n}(r_1)$  which has been already proved in the previous case.

The argument now runs exactly as in the case  $C_n(r_1)$ , where  $x \in S$  is  $\mathrm{GL}_{2n+1}(\overline{\mathbb{F}}_r)$ -conjugate to

$$\mathrm{diag}(1, D, 1, \dots, 1, D^{-1}, 1, \dots, 1)$$

with  $D = \mathrm{diag}(\xi, \xi^{r_1}, \dots, \xi^{r_1^{e-1}})$ .

**Type  $D_n(r_1)$  or  ${}^2D_n(r_1)$ ,** where  $n \geq 4$ . Now we have  $S = \mathrm{P}\Omega_{2n}^\pm(r_1)$  and  $G = \mathrm{P}(\mathrm{CO}_{2n}^\pm(r_1)^\circ)$ , and we can argue as in the case  $C_n(r_1)$  with the same  $x$ . The existence of  $x$  can be guaranteed by [5, Section 4 and 5].

We now handle simple groups of exceptional type, so that  $S$  is of type  ${}^2B_2(r_1)$ ,  ${}^2G_2(r_1)$ ,  ${}^2F_4(r_1)$ ,  ${}^3D_4(r_1)$ ,  $G_2(r_1)$ ,  $F_4(r_1)$ ,  $E_6(r_1)$ ,  ${}^2E_6(r_1)$ ,  $E_7(r_1)$  or  $E_8(r_1)$ . For convenience, we list the order of  $G$  with  $\Delta$  in Table 1, where  $\Phi_i = \Phi_i(r_1)$ . Also, we collect the possible lower bound for  $p$  in the last column of Table 1 if  $p \nmid |S|$ . For instance, if  $S = G_2(r_1)$  then  $2 \cdot 3 \mid |S|$ , so if  $p \nmid |S|$  then  $p \geq 5$ . In addition, if  $S = {}^2B_2(r_1)$ , then  $3 \nmid |S|$  and so if  $p \nmid |S|$ , then  $p \geq 3$ .

We claim that  $|C_G(\phi)|_q = 1$ . Assume that  $q \mid |C_G(\phi)|$ . Then we have  $e_0 \in \Delta$ .

We first suppose that  $p \nmid |S|$ . Note that  $pe \mid e_0$ . If  $S = G_2(r_1)$ , then the possibilities for  $e_0$  are 3 or 6 by Table 1, both of which contradict the fact that  $p \geq 5$ . For the remaining cases, a similar argument follows. So the claim holds in this case.

We now assume that  $p \mid |S|$ . Recall that  $\Phi_e(r_1)$  is the unique cyclotomic polynomial factor of the order polynomial of  $G$  which is divisible by  $p$  (and  $q$ ). Furthermore,  $e_0$  is divisible by  $ep$ . Clearly,  $S \neq {}^2B_2(r_1)$  since  $p$  is odd.

Suppose that  $S = G_2(r_1)$ . By Table 1, we see that  $e_0 = 3$  or  $6$  and so  $p = 3$ . Since  $p \neq r$ , it follows by [16, Lemma 5] that  $p$  divides either  $\Phi_1(r_1)$  and  $\Phi_3(r_1)$  or  $\Phi_2(r_1)$  and  $\Phi_6(r_1)$ , a contradiction.

Suppose that  $S = {}^3D_4(r_1)$ . Since  $e_0 \in \{3, 6, 12\}$ , we again have  $p = 3$ , which similarly leads to a contradiction. The same argument is also valid for the case

TABLE 1. Order of  $|G|$  and lower bound for  $p$  when  $p \nmid |S|$ 

$S$	$ G $	$\Delta$	$p \geq$
$G_2(r_1)$	$r_1^6 \Phi_1^2 \Phi_2^2 \Phi_3 \Phi_6$	$\{1, 2, 3, 6\}$	5
${}^3D_4(r_1)$	$r_1^{12} \Phi_1^2 \Phi_2^2 \Phi_3^2 \Phi_6^2 \Phi_{12}$	$\{1, 2, 3, 6, 12\}$	5
$F_4(r_1)$	$r_1^{24} \Phi_1^4 \Phi_2^4 \Phi_3^2 \Phi_4^2 \Phi_6^2 \Phi_8 \Phi_{12}$	$\{1, 2, 3, 4, 6, 8, 12\}$	5
$E_6(r_1)$	$r_1^{36} \Phi_1^6 \Phi_2^4 \Phi_3^3 \Phi_4^2 \Phi_5 \Phi_6^2 \Phi_8 \Phi_9 \Phi_{12}$	$\{1, 2, 3, 4, 5, 6, 8, 9, 12\}$	5
${}^2E_6(r_1)$	$r_1^{36} \Phi_1^4 \Phi_2^6 \Phi_3^2 \Phi_4^2 \Phi_6^3 \Phi_8 \Phi_{10} \Phi_{12} \Phi_{18}$	$\{1, 2, 3, 4, 6, 8, 10, 12, 18\}$	5
$E_7(r_1)$	$r_1^{63} \Phi_1^7 \Phi_2^7 \Phi_3^3 \Phi_4^2 \Phi_5 \Phi_6^3 \Phi_7 \Phi_8 \Phi_9 \Phi_{10} \Phi_{12} \Phi_{14} \Phi_{18}$	$\{1, \dots, 10, 12, 14, 18\}$	7
$E_8(r_1)$	$r_1^{120} \Phi_1^8 \Phi_2^8 \Phi_3^4 \Phi_4^4 \Phi_5^2 \Phi_6^4 \Phi_7 \Phi_8^2 \Phi_9 \Phi_{10}^2 \Phi_{12}^2 \Phi_{14} \Phi_{15} \Phi_{18} \Phi_{20} \Phi_{24} \Phi_{30}$	$\{1, \dots, 10, 12, 14, 15, 18, 20, 24, 30\}$	7
${}^2B_2(r_1)$	$r_1^2 \Phi_1 \Phi_4$	$\{1, 4\}$	3
${}^2F_4(r_1)$	$r_1^{12} \Phi_1^2 \Phi_2^2 \Phi_4^2 \Phi_6 \Phi_{12}$	$\{1, 2, 4, 6, 12\}$	5
${}^2G_2(r_1)$	$r_1^3 \Phi_1 \Phi_2 \Phi_6$	$\{1, 2, 6\}$	5

$S = {}^2G_2(r_1)$  or  ${}^2F_4(r_1)$ . If  $S = E_6(r_1)$ , then  $p = 3$  and  $e = 1$  or  $2$ , or  $p = 5$  and  $e = 1$ . In any case,  $\Phi_e(r_1)$  is not the unique cyclotomic polynomial factor of the order polynomial of  $G$  which is divisible by  $p$ , a contradiction. A similar argument holds true for  $S = {}^2E_6(r_1), E_7(r_1)$  or  $E_8(r_1)$ . This proves the claim  $|C_G(\phi)|_q = 1$ .

According to [9, Theorem 2.5.17], there is a Steinberg endomorphism  $\sigma$  of  $\mathbf{G}$  such that  $F \in \langle \sigma \rangle$  and  $\sigma$  induces the field automorphism  $\phi$  of  $S$  which can also be viewed as a field automorphism of  $G$ . Hence the finite group  $G_1 := \mathbf{G}^\sigma$  of fixed points is exactly the centralizer  $C_G(\phi)$  of  $\phi$  in  $G$ . In particular,  $|G_1|_q = 1$ .

Assume that  $\sigma(s) = s^g$  for some nontrivial  $q$ -element  $s$  of  $G$  and  $g \in G$ . Recall that  ${}^2B_2(r_1)$  will not occur as  $S$ . Thus, if  $q = 3$ , then  $|C_G(\phi)|_3 \neq 1$  for all possible types of  $S$ , a contradiction. This implies  $q \geq 5$  and  $s$  must be an element of  $S$ . By the Lang-Steinberg Theorem [20, Theorem 21.7], there is some  $x \in \mathbf{G}$  such that  $g^{-1} = \sigma(x)x^{-1}$ . We have

$$\sigma(s^x) = \sigma(s)^{\sigma(x)} = (x^{-1}g) \cdot (g^{-1}sg) \cdot (g^{-1}x) = s^x.$$

Hence  $s^x \in G_1$ , and so  $q \mid |G_1|$ , a contradiction. Thus,  $\sigma$  and so  $\phi$  does not fix the conjugacy class of some  $q$ -element of  $S$  in  $G$ , which finishes the proof.  $\square$

*Proof of Theorem 1.3.* According to [17, Propositions 3.2-3.3], among the sporadic simple groups, the alternating groups and the Tits simple group, which have a nilpotent Hall  $\{p, q\}$ -subgroup, are only  $J_1$  with  $\{p, q\} = \{3, 5\}$  and  $J_4$  with  $\{p, q\} = \{5, 7\}$ , both of which imply  $A = S$  and so do not occur in our situation. Thus  $S$  is a group of Lie type.

We first suppose that  $pq \mid |S|$ . Note that  $q$  is not the defining characteristic of  $S$ , by [17, Proposition 3.4]. Thus, by Proposition 3.1,  $A = S\langle\phi\rangle$  for some field automorphism  $\phi$  of  $S$  of order  $p^a$  and  $\phi$  does not fix the conjugacy class of some  $q$ -element  $s$  of  $S$  in the subgroup of  $\text{Aut}(S)$  generated by the inner automorphisms and the diagonal automorphisms of  $S$ .

Let  $\mathbf{G}$  be a simple simply-connected algebraic group and let  $F$  be a Frobenius endomorphism of  $\mathbf{G}$  such that  $S = \mathbf{G}^F/Z(\mathbf{G}^F)$ . As mentioned in the second paragraph of the proof of Proposition 3.1, the primes  $p, q$  are odd and different from the defining characteristic of  $S$ , and any Hall  $\{p, q\}$ -subgroup of  $G$  is abelian and contained in some Sylow  $\Phi_e$ -torus of  $\mathbf{G}$ , where  $e = \text{ord}_p(r_1) = \text{ord}_q(r_1)$ .

Let  $(\mathbf{G}^*, F^*)$  be in duality with  $(\mathbf{G}, F)$  (see [6, Section 4.3] for instance). Write  $G^* := (\mathbf{G}^*)^{F^*}$  and  $S^* := (G^*)'$  so that  $|G| = |G^*|$  by [6, Proposition 4.4.4], and  $S^* \cong S$  unless  $S$  is of type  $B_n$  or  $C_n$ . Moreover, it follows from [6, Corollary 4.4.2] that  $G^*$  also has an abelian Hall  $\{p, q\}$ -subgroup whose order is the same as for  $G$ .

The field automorphism  $\phi$  of  $S$  induces a field automorphism  $\phi^*$  of  $S^*$  of order  $p^a$ . According to [9, Theorem 2.5.17], there exists a Steinberg endomorphism  $\sigma^*$  of  $\mathbf{G}^*$  such that  $F^* = (\sigma^*)^{p^a}$  and  $\sigma^*$  induces  $\phi^*$ . Since, by assumption,  $A$  does not have a nilpotent Hall  $\{p, q\}$ -subgroup,  $\phi$  does not centralize any Sylow  $q$ -subgroup of  $S$ . Thus  $|C_{\mathbf{G}^F}(\phi)|_q < |\mathbf{G}^F|_q$ . Furthermore,

$$|C_{\mathbf{G}^F}(\phi)|_q = |C_{\mathbf{G}}(\sigma)|_q = |C_{\mathbf{G}^*}(\sigma^*)|_q = |C_{G^*}(\phi^*)|_q$$

and

$$|\mathbf{G}^F|_q = |G^*|_q.$$

Hence  $|C_{G^*}(\phi^*)|_q < |G^*|_q$ . Thus  $\phi^*$  does not centralize any Sylow  $q$ -subgroup of  $G^*$ . Now by Proposition 3.1,  $\phi^*$  does not fix the conjugacy class of some  $q$ -element  $s$  of  $S^*$  in  $G^*$ .

By [29, Proposition 7.2], the Lusztig series  $\mathcal{E}(G, s)$  corresponding to  $s$  is not fixed by  $\phi$ . On the other hand, by [12, Theorem 2.3],  $B_q(G) \subseteq \coprod_t \mathcal{E}(G, t)$ , and there is a character in  $\mathcal{E}(G, t)$  which lies in the principal  $q$ -block of  $G$ , where  $t$  runs through all  $q$ -elements of  $G^*$  up to conjugation. Hence there is a character  $\chi$  in  $\mathcal{E}(G, s)$ , which lies in the principal  $q$ -block of  $G$  and is not fixed by  $\phi$ . Since  $s \in S^* = [G^*, G^*]$ , we have  $\ker \chi = Z(G)$  by [25, Lemma 4.4], so  $\chi$  is indeed a character of  $S$ . Thus the theorem holds in this case.

We now suppose that  $p \nmid |S|$ . Then  $S$  is of Lie type other than the Tits simple group, and we may assume that  $A = S\langle\phi\rangle$  for some field automorphism  $\phi$  of  $S$ , by [9, Theorem 7.1.2]. We may further assume that  $A$  does not have nilpotent Hall  $\{p, q\}$ -subgroups. If  $q \neq r$ , we may similarly argue as above with Proposition 3.1. Finally, let  $q = r$ . In this case, all irreducible characters of  $S$  apart from the Steinberg character of  $S$  lie in  $B_q(S)$ . If  $A$  acts trivially on  $B_q(S)$ , then  $A$  fixes all irreducible characters of  $S$ , since the Steinberg character of  $S$  is invariant under the conjugate action of  $\text{Aut}(S)$ . Thus, by Brauer's permutation lemma,  $\phi$  fixes all conjugacy classes of  $S$ , a contradiction to [7, Theorem C]. This finishes the proof.  $\square$

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